

# Integration operators between Hardy spaces in the unit ball

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Hilbert Function Spaces  
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# Integration operators

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Let  $g \in H(\mathbb{D})$ . Define the linear operator

$$J_g f(z) = \int_0^z f(\zeta) g'(\zeta) d\zeta, \quad f \in H(\mathbb{D}).$$

The operator  $J_g$  includes (as a particular cases):

- 1 the Volterra operator (choose  $g(z) = z$ ).
- 2 the Cesàro operator (choose  $g(z) = \log(1 - z)$ ).

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# Hardy spaces in the unit disk

**Theorem [Aleman-Siskakis:  $p \geq 1$ ; Aleman-Cima:  $0 < p < 1$ ]**

Let  $0 < p < \infty$  and  $g \in H(\mathbb{D})$ . Then  $J_g$  is bounded on  $H^p(\mathbb{D})$  if and only if  $g \in BMOA$ .

**Theorem [Aleman-Cima; 2001]**

Let  $0 < p < q < \infty$  and  $g \in H(\mathbb{D})$ . Let  $\alpha = \frac{1}{p} - \frac{1}{q}$ .

- If  $\alpha \leq 1$ , then  $J_g : H^p(\mathbb{D}) \rightarrow H^q(\mathbb{D})$  is bounded if and only if

$$\sup_{z \in \mathbb{D}} (1 - |z|^2)^{1-\alpha} |g'(z)| < \infty.$$

- If  $\alpha > 1$  then  $J_g : H^p(\mathbb{D}) \rightarrow H^q(\mathbb{D})$  is bounded if and only if  $g$  is constant.

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## Theorem [Aleman-Cima; 2001]

Let  $0 < q < p < \infty$ . Then  $J_g : H^p(\mathbb{D}) \rightarrow H^q(\mathbb{D})$  is bounded if and only if  $g \in H^r$  with  $r = \frac{pq}{p-q}$ .

The proof uses in a decisive way the strong factorization for functions in the Hardy space:

$$H^p = H^s \cdot H^t, \quad \frac{1}{p} = \frac{1}{s} + \frac{1}{t}.$$

## Theorem [Aleman-Siskakis; 1995]

- (i) If  $1 < p < \infty$  then  $J_g \in \mathcal{S}_p(H^2)$  if and only if  $g \in B_p$ .
- (ii) If  $0 < p \leq 1$  then  $J_g \in \mathcal{S}_p(H^2)$  if and only if  $g$  is constant.

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# Integration operators in the unit ball

Let  $\mathbb{B}_n = \{z \in \mathbb{C}^n : |z| < 1\}$ . For  $g \in H(\mathbb{B}_n)$  define the linear operator

$$J_g f(z) = \int_0^1 f(tz) Rg(tz) \frac{dt}{t}, \quad z \in \mathbb{B}_n$$

for  $f$  holomorphic in  $\mathbb{B}_n$ .

$Rg$  is the **radial derivative** of  $g$

$$Rg(z) = \sum_{k=1}^n z_k \frac{\partial g}{\partial z_k}(z), \quad z = (z_1, \dots, z_n) \in \mathbb{B}_n.$$

**Basic property:**

$$R(J_g f)(z) = f(z) Rg(z).$$



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**Basic property:**

$$R(J_g f)(z) = f(z) Rg(z).$$

# Hardy spaces on the unit ball

For  $0 < p < \infty$ , the **Hardy space**  $H^p(\mathbb{B}_n)$  consists of those functions  $f \in H(\mathbb{B}_n)$  with

$$\|f\|_{H^p} = \sup_{0 < r < 1} \left( \int_{\mathbb{S}_n} |f(r\zeta)|^p d\sigma(\zeta) \right)^{1/p} < \infty.$$

Theorem [Pau; 2012]

Let  $g \in H(\mathbb{B}_n)$  and  $0 < p < \infty$ . Then  $J_g$  is bounded on  $H^p(\mathbb{B}_n)$  if and only if  $g \in BMOA(\mathbb{B}_n)$ . Moreover,

$$\|J_g\| \asymp \|g\|_{BMOA}.$$

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# Boundedness on Hardy spaces

Let  $0 < \alpha \leq 1$ . A function  $g \in H(\mathbb{B}_n)$  belongs to  $\Lambda(\alpha)$  if

$$\sup_{z \in \mathbb{B}_n} (1 - |z|^2)^{1-\alpha} |Rg(z)| < \infty.$$

## Theorem [Pau; 2012]

Let  $g \in H(\mathbb{B}_n)$  and  $0 < p < q < \infty$ . Let  $\alpha = n(\frac{1}{p} - \frac{1}{q})$ .

- (i) If  $\alpha \leq 1$  then  $J_g : H^p(\mathbb{B}_n) \rightarrow H^q(\mathbb{B}_n)$  is bounded if and only if  $g \in \Lambda(\alpha)$ .
- (ii) If  $\alpha > 1$  then  $J_g : H^p(\mathbb{B}_n) \rightarrow H^q(\mathbb{B}_n)$  is bounded if and only if  $g$  is constant.

This was previously obtained by Avetysan-Stević in 2009.

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## Theorem [Pau; 2013]

Let  $g \in H(\mathbb{B}_n)$  and  $0 < q < p < \infty$ . Then  $J_g : H^p(\mathbb{B}_n) \rightarrow H^q(\mathbb{B}_n)$  is bounded if and only if  $g \in H^r(\mathbb{B}_n)$  with  $r = pq/(p - q)$ . Moreover,

$$\|J_g\|_{H^p \rightarrow H^q} \asymp \|g\|_{H^r}.$$

# Membership in Schatten $p$ -classes

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## Theorem [Pau; 2013]

Let  $g \in H(\mathbb{B}_n)$ . Then

- (a) For  $n < p < \infty$ ,  $J_g$  belongs to  $S_p(H^2)$  if and only if  $g \in B_p$ , that is,

$$\int_{\mathbb{B}_n} |Rg(z)|^p (1 - |z|^2)^p d\lambda_n(z) < \infty.$$

- (b) If  $0 < p \leq n$  then  $J_g$  is in  $S_p(H^2)$  if and only if  $g$  is constant.

Here

$$d\lambda_n(z) = \frac{dv(z)}{(1 - |z|^2)^{n+1}}.$$

# Hardy-Stein type inequalities

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If  $g(0) = 0$  then

$$\|g\|_{Hp}^p \asymp \int_{\mathbb{B}_n} |g(z)|^{p-2} |Rg(z)|^2 (1 - |z|^2) d\nu(z)$$

There is also a version using the [invariant gradient](#)

$$\tilde{\nabla}f(z) = \nabla(f \circ \varphi_z)(0)$$

$$\|g\|_{Hp}^p \asymp \int_{\mathbb{B}_n} |g(z)|^{p-2} |\tilde{\nabla}g(z)|^2 (1 - |z|^2)^n d\lambda_n(z)$$



# The admissible maximal function

For  $\alpha > 1$ , the **admissible approach region** at  $\zeta \in \mathbb{S}_n$  is

$$\Gamma(\zeta) = \Gamma_\alpha(\zeta) = \{z \in \mathbb{B}_n : |1 - \langle z, \mathbf{w} \rangle| < \frac{\alpha}{2} (1 - |z|^2)\}$$

The **admissible maximal function** is

$$f^*(\zeta) = f_\alpha^*(\zeta) = \sup_{z \in \Gamma(\zeta)} |f(z)|, \quad \zeta \in \mathbb{S}_n$$

• Let  $0 < p < \infty$  and  $f \in H(\mathbb{B}_n)$ . Then

$$\|f^*\|_{L^p(\mathbb{S}_n)} \leq C \|f\|_{H^p}.$$

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- Let  $0 < p < \infty$  and  $f \in H(\mathbb{B}_n)$ . Then

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# The area function

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The admissible **area function** is defined on  $\mathbb{S}_n$  by

$$Af(\zeta) = \left( \int_{\Gamma(\zeta)} |Rf(z)|^2 (1 - |z|^2)^{1-n} d\nu(z) \right)^{1/2}$$

The following is a classical result.

## Theorem

Let  $0 < p < \infty$  and  $g \in H(\mathbb{B}_n)$ . Then  $g \in H^p$  if and only if  $Ag \in L^p(\mathbb{S}_n)$ . Moreover, if  $g(0) = 0$  then

$$\|g\|_{H^p} \asymp \|Ag\|_{L^p(\mathbb{S}_n)}$$

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# Carleson measures

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A positive measure  $\mu$  on  $\mathbb{B}_n$  is a **Carleson measure** if

$$\mu(B_\delta(\zeta)) \leq C\delta^n$$

for all  $\zeta \in \mathbb{S}_n$  and  $\delta > 0$ , where

$$B_\delta(\zeta) = \{z \in \mathbb{B}_n : |1 - \langle z, \zeta \rangle| < \delta\}.$$

## Theorem [Carleson; Hörmander]

Let  $0 < p < \infty$ . Then  $I_d : H^p(\mathbb{B}_n) \rightarrow L^p(\mathbb{B}_n, d\mu)$  is bounded if and only if  $\mu$  is a Carleson measure.

# Bounded Mean Oscillation

The space of analytic functions of bounded mean oscillation  $BMOA = BMOA(\mathbb{B}_n)$  consists of those functions  $f \in H^1$  with

$$\|f\|_{BMOA} = |f(0)| + \sup \frac{1}{\sigma(Q)} \int_Q |f(\zeta) - f_Q| d\sigma(\zeta) < \infty,$$

where

$$f_Q = \frac{1}{\sigma(Q)} \int_Q f d\sigma$$

is the mean of  $f$  over  $Q$  and the supremum is taken over the non-isotropic metric balls

$$Q = Q(\zeta, \delta) = \{\xi \in \mathbb{S}_n : |1 - \langle \zeta, \xi \rangle| < \delta\}$$

for all  $\zeta \in \mathbb{S}_n$  and  $\delta > 0$ .

# Bounded Mean Oscillation

The following is a well known result describing *BMOA* in terms of Carleson measures.

## Theorem

Let  $g \in H(\mathbb{B}_n)$  and consider the measure  $\mu_g$  defined by

$$d\mu_g(z) = |Rg(z)|^2(1 - |z|^2) dv(z).$$

Then  $g \in BMOA$  if and only if  $\mu_g$  is a Carleson measure. Moreover, if  $g(0) = 0$ , for all  $0 < p < \infty$  one has

$$\|g\|_{BMOA} \asymp \sup_{\|f\|_{H^p}=1} \left( \int_{\mathbb{B}_n} |f(z)|^p d\mu_g(z) \right)^{1/2}.$$



# $J_g$ bounded in $H^p$ implies $g$ in $BMOA$

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We want to show

$$\|g\|_{BMOA} \leq C \|J_g\|.$$

Let  $f \in H^p$ . From the Hardy-Stein inequalities we have

$$\begin{aligned} \|J_g f\|_{H^p}^p &\asymp \int_{\mathbb{B}_n} |J_g f(z)|^{p-2} |R(J_g f)(z)|^2 (1 - |z|^2) dv(z) \\ &= \int_{\mathbb{B}_n} |J_g f(z)|^{p-2} |f(z)|^2 d\mu_g(z). \end{aligned}$$

Recall that

$$\|g\|_{BMOA}^2 \asymp \sup_{\|f\|_{H^p}=1} \int_{\mathbb{B}_n} |f(z)|^p d\mu_g(z)$$

# $J_g$ bounded in $H^p$ implies $g$ in $BMOA$

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$$\int_{\mathbb{B}_n} |f|^p d\mu_g \leq \left( \int_{\mathbb{B}_n} |J_g f|^p d\mu_g \right)^{\frac{2-p}{2}} \left( \int_{\mathbb{B}_n} |J_g f|^{p-2} |f|^2 d\mu_g \right)^{p/2}$$

$$\lesssim (\|g\|_{BMOA}^2 \cdot \|J_g f\|_{H^p}^p)^{\frac{2-p}{2}} (\|J_g f\|_{H^p}^p)^{p/2}$$

$$= \|g\|_{BMOA}^{2-p} \cdot \|J_g f\|_{H^p}^p$$

Taking the supremum over all  $f \in H^p$  with  $\|f\|_{H^p} = 1$ ,

$$\|g\|_{BMOA}^2 \leq C \|g\|_{BMOA}^{2-p} \cdot \|J_g\|^p.$$

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Taking the supremum over all  $f \in H^p$  with  $\|f\|_{H^p} = 1$ ,

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$$\int_{\mathbb{B}_n} |f|^p d\mu_g \leq \left( \int_{\mathbb{B}_n} |J_g f|^p d\mu_g \right)^{\frac{2-p}{2}} \left( \int_{\mathbb{B}_n} |J_g f|^{p-2} |f|^2 d\mu_g \right)^{p/2}$$

$$\lesssim (\|g\|_{BMOA}^2 \cdot \|J_g f\|_{H^p}^p)^{\frac{2-p}{2}} (\|J_g f\|_{H^p}^p)^{p/2}$$

$$= \|g\|_{BMOA}^{2-p} \cdot \|J_g f\|_{H^p}^p$$

Taking the supremum over all  $f \in H^p$  with  $\|f\|_{H^p} = 1$ ,

$$\|g\|_{BMOA}^2 \leq C \|g\|_{BMOA}^{2-p} \cdot \|J_g\|^p.$$



# The case $0 < q < p < \infty$

Let  $g \in H(\mathbb{B}_n)$  and  $0 < q < p < \infty$ . Then  $J_g : H^p(\mathbb{B}_n) \rightarrow H^q(\mathbb{B}_n)$  is bounded if and only if  $g \in H^r(\mathbb{B}_n)$  with  $r = pq/(p - q)$ . Moreover,

$$\|J_g\|_{H^p \rightarrow H^q} \asymp \|g\|_{H^r}.$$

**Sufficiency:**

$$\begin{aligned} \|J_g f\|_{H^q}^q &\asymp \int_{\mathbb{S}_n} \left( \int_{\Gamma(\zeta)} |f|^2 |Rg|^2 (1 - |z|^2)^{1-n} dv(z) \right)^{q/2} d\sigma(\zeta) \\ &\leq \int_{\mathbb{S}_n} |f^*(\zeta)|^q \left( \int_{\Gamma(\zeta)} |Rg|^2 (1 - |z|^2)^{1-n} dv(z) \right)^{q/2} d\sigma(\zeta) \\ &\leq \|f^*\|_{L^p(\mathbb{S}_n)}^q \cdot \|Ag\|_{L^r(\mathbb{S}_n)}^q \end{aligned}$$

# Luecking's theorem

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## Theorem

Let  $0 < s < p < \infty$  and let  $\mu$  be a positive Borel measure on  $\mathbb{B}_n$ . Then the identity  $I_d : H^p \rightarrow L^s(\mu)$  is bounded, if and only if, the function defined on  $\mathbb{S}_n$  by

$$\tilde{\mu}(\zeta) = \int_{\Gamma(\zeta)} (1 - |z|^2)^{-n} d\mu(z)$$

belongs to  $L^{p/(p-s)}(\mathbb{S}_n)$ . Moreover, one has

$$\|I_d\|_{H^p \rightarrow L^s(\mu)} \asymp \|\tilde{\mu}\|_{L^{p/(p-s)}(\mathbb{S}_n)}^{1/s}.$$

An immediate consequence of that and the area theorem is

# Case $0 < q < p < \infty$ : necessity

The case  $r > 2$

## Corollary

Let  $0 < s < p < \infty$  and  $g \in H(\mathbb{B}_n)$ . Then

$$\int_{\mathbb{B}_n} |f(z)|^s d\mu_g(z) \leq C \|f\|_{H^p}^s$$

if and only if  $g \in H^{\frac{2p}{p-s}}$ . Moreover,  $\|I_d\|_{H^p \rightarrow L^s(\mu_g)} \asymp \|g\|_{H^{\frac{2p}{p-s}}}^{2/s}$ .

Take  $s$  with  $r = 2p/(p-s)$ , that is,

$$s = p - 2(p-q)/q.$$

If  $0 < q < 2$  then  $0 < s < 2$  and

$$\int_{\mathbb{B}_n} |f|^s d\mu_g \leq \left( \int_{\mathbb{B}_n} |J_g f|^{\frac{s(2-q)}{2-s}} d\mu_g \right)^{\frac{2-s}{2}} \left( \int_{\mathbb{B}_n} |J_g f|^{q-2} |f|^2 d\mu_g \right)^{\frac{1}{2}}$$

# Case $0 < q < p < \infty$ : necessity

The case  $r > 2$

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If  $0 < q < 2$  then  $0 < s < 2$  and

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If  $0 < q < 2$  then  $0 < s < 2$  and

$$\int_{\mathbb{B}_n} |f|^s d\mu_g \leq \left( \int_{\mathbb{B}_n} |J_g f|^{\frac{s(2-q)}{2-s}} d\mu_g \right)^{\frac{2-s}{2}} \left( \int_{\mathbb{B}_n} |J_g f|^{q-2} |f|^2 d\mu_g \right)^{\frac{s}{2}}$$

# Case $0 < q < p < \infty$ : necessity for $r > 2$

$$\begin{aligned} \int_{\mathbb{B}_n} |f|^s d\mu_g &\leq \left( \int_{\mathbb{B}_n} |J_g f|^{\frac{s(2-q)}{2-s}} d\mu_g \right)^{\frac{2-s}{2}} \left( \int_{\mathbb{B}_n} |J_g f|^{q-2} |f|^2 d\mu_g \right)^{s/2} \\ &\lesssim \left( \|J_g f\|_{H^q}^{\frac{s(2-q)}{2-s}} \cdot \|g\|_{H^r}^2 \right)^{\frac{2-s}{2}} \cdot (\|J_g f\|_{H^q}^q)^{s/2} \\ &= \|g\|_{H^r}^{2-s} \cdot \|J_g f\|_{H^q}^s \end{aligned}$$

Taking the supremum over all  $f \in H^p$  with  $\|f\|_{H^p} = 1$ ,

$$\|g\|_{H^r}^2 \leq C \|g\|_{H^r}^{2-s} \cdot \|J_g\|_{H^p \rightarrow H^q}^s.$$

# Case $0 < q < p < \infty$ : necessity for $r > 2$

$$\begin{aligned} \int_{\mathbb{B}_n} |f|^s d\mu_g &\leq \left( \int_{\mathbb{B}_n} |J_g f|^{\frac{s(2-q)}{2-s}} d\mu_g \right)^{\frac{2-s}{2}} \left( \int_{\mathbb{B}_n} |J_g f|^{q-2} |f|^2 d\mu_g \right)^{s/2} \\ &\lesssim \left( \|J_g f\|_{H^q}^{\frac{s(2-q)}{2-s}} \cdot \|g\|_{H^r}^2 \right)^{\frac{2-s}{2}} \cdot (\|J_g f\|_{H^q}^q)^{s/2} \\ &= \|g\|_{H^r}^{2-s} \cdot \|J_g f\|_{H^q}^s \end{aligned}$$

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Taking the supremum over all  $f \in H^p$  with  $\|f\|_{H^p} = 1$ ,

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# Case $0 < q < p < \infty$ : necessity for $r > 2$

$$\begin{aligned} \int_{\mathbb{B}_n} |f|^s d\mu_g &\leq \left( \int_{\mathbb{B}_n} |J_g f|^{\frac{s(2-q)}{2-s}} d\mu_g \right)^{\frac{2-s}{2}} \left( \int_{\mathbb{B}_n} |J_g f|^{q-2} |f|^2 d\mu_g \right)^{s/2} \\ &\lesssim \left( \|J_g f\|_{H^q}^{\frac{s(2-q)}{2-s}} \cdot \|g\|_{H^r}^2 \right)^{\frac{2-s}{2}} \cdot (\|J_g f\|_{H^q}^q)^{s/2} \\ &= \|g\|_{H^r}^{2-s} \cdot \|J_g f\|_{H^q}^s \end{aligned}$$

Taking the supremum over all  $f \in H^p$  with  $\|f\|_{H^p} = 1$ ,

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# Case $0 < q < p < \infty$ : necessity for $r > 2$

$$\begin{aligned} \int_{\mathbb{B}_n} |f|^s d\mu_g &\leq \left( \int_{\mathbb{B}_n} |J_g f|^{\frac{s(2-q)}{2-s}} d\mu_g \right)^{\frac{2-s}{2}} \left( \int_{\mathbb{B}_n} |J_g f|^{q-2} |f|^2 d\mu_g \right)^{s/2} \\ &\lesssim \left( \|J_g f\|_{H^q}^{\frac{s(2-q)}{2-s}} \cdot \|g\|_{H^r}^2 \right)^{\frac{2-s}{2}} \cdot (\|J_g f\|_{H^q}^q)^{s/2} \\ &= \|g\|_{H^r}^{2-s} \cdot \|J_g f\|_{H^q}^s \end{aligned}$$

Taking the supremum over all  $f \in H^p$  with  $\|f\|_{H^p} = 1$ ,

$$\|g\|_{H^r}^2 \leq C \|g\|_{H^r}^{2-s} \cdot \|J_g\|_{H^p \rightarrow H^q}^s.$$

# Necessity for $r \leq 2$

## Corollary

Let  $0 < s < p < \infty$  and  $g \in H(\mathbb{B}_n)$ . Then

$$\int_{\mathbb{B}_n} |f(z)|^s d\mu_g(z) \leq C \|f\|_{H^p}^s$$

if and only if  $g \in H^{\frac{2p}{p-s}}$ . Moreover,  $\|I_d\|_{H^p \rightarrow L^s(\mu_g)} \asymp \|g\|_{H^{\frac{2p}{p-s}}}^{2/s}$ .

Assume that  $g$  has no zeros. Then

$$g \in H^r \Leftrightarrow g^t \in H^{r/t}$$

Take  $t > 0$  so that  $r/t > 2$  and let  $s$  with  $r/t = 2p/(p-s)$ . Then

$$g \in H^r \Leftrightarrow \int_{\mathbb{B}_n} |f|^s |g|^{2t-2} d\mu_g \leq C \|f\|_{H^p}^s$$

# Necessity for $r \leq 2$

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# Necessity for $r \leq 2$

## Theorem

Let  $0 < s < p < \infty$  and  $g \in H(\mathbb{B}_n)$ . Then

$$\int_{\mathbb{B}_n} |f(z)|^s |g(z)|^{2t-2} d\mu_g(z) \leq C \|f\|_{H^p}^s$$

if and only if  $g \in H^{\frac{2pt}{p-s}}$ .

Moreover, if  $\hat{\mu}_g$  is the measure defined by

$$d\hat{\mu}_g(z) = |g(z)|^{2t-2} d\mu_g(z),$$

then

$$\|I_d\|_{H^p \rightarrow L^s(\hat{\mu}_g)} \asymp \|g\|_{H^{\frac{2pt}{p-s}}}^{2t/s}.$$

# General Area function description of Hardy spaces

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## Theorem

Let  $g \in H(\mathbb{B}_n)$  and  $0 < p, t < \infty$ . Then  $g \in H^{pt}$  if and only if

$$\int_{\mathbb{S}_n} \left( \int_{\Gamma(\zeta)} |g(z)|^{2t-2} |Rg(z)|^2 (1 - |z|^2)^{1-n} dv(z) \right)^{p/2} d\sigma(\zeta) < \infty.$$